

# ABSOLUTE MAXIMAS AND MINIMAS

(1)

21<sup>st</sup> January.

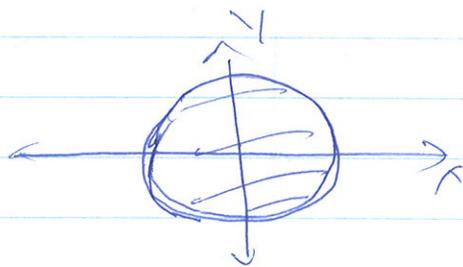
We developed techniques for finding the local maximums and minimums in the last class. Absolute maximum and minimum can be found on Closed and Bounded regions.

Closed sets sets which include their boundary.

What is a boundary?

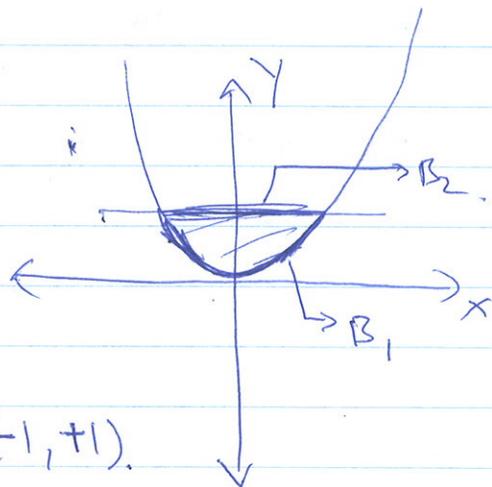
• Boundary of  $x^2 + y^2 \leq 1$

is  $x^2 + y^2 = 1$



• Boundary of  $x^2 \leq y, y \leq 1$

has two parts:  $x^2 = y$  and  $y = 1$



~~They intersect~~

They intersect at  $(1, 1)$  and  $(-1, 1)$ .

~~Plug  $y=1$  into  $x^2=y$~~

The boundary is  $B_1 \cup B_2$  where

$B_1$  is  $(x, y)$  such that  $x^2 \leq y$  and  $-1 \leq x \leq 1$

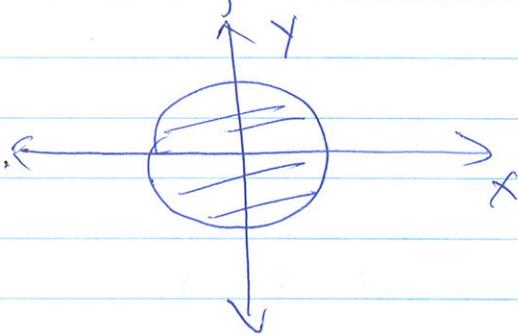
$B_2$  is  $(x, y)$  such that  $y = 1$  and  $-1 \leq x \leq 1$

Bounded sets are sets which can be enclosed in a big enough circle.

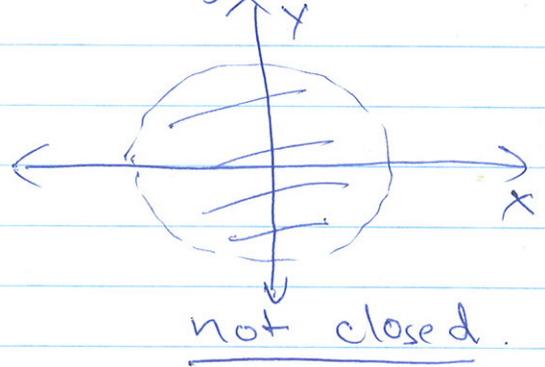
Closed and Bounded.

Not Closed or Not Bounded.

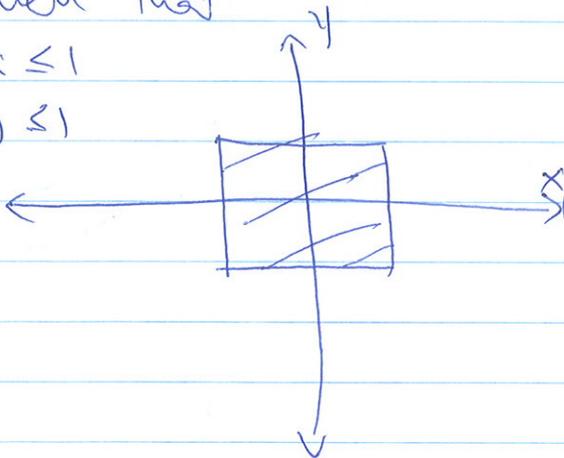
$(x,y)$  such that  $x^2 + y^2 \leq 1$



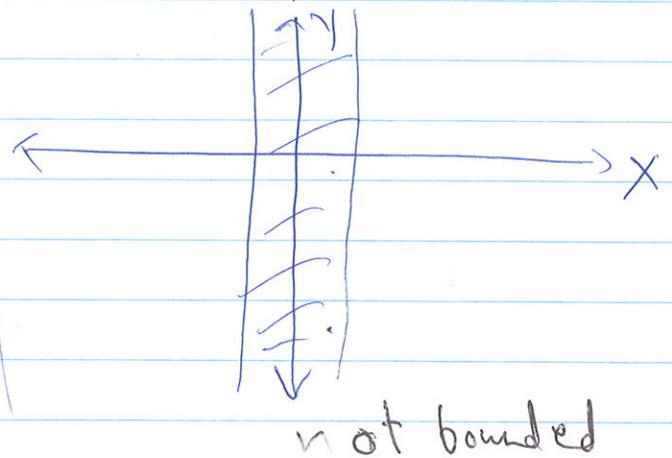
$(x,y)$  such that  $x^2 + y^2 < 1$



$(x,y)$  such that  $-1 \leq x \leq 1$   
 $-1 \leq y \leq 1$



$(x,y)$  such that  $-1 \leq x \leq 1$



(3)

$f$  has an absolute maximum at  $(a, b)$  if

$f(a, b) \geq f(x, y)$  for all  $(x, y)$  in the domain of  $f$ .

$f$  has an absolute minimum at  $(a, b)$  if

$f(a, b) \leq f(x, y)$  for all  $(x, y)$  in the domain of  $f$ .

• Finding absolute maximum/minimum on the closed and bounded set  $R$ .

(A) Find all critical values in the set  $R$ .  
Sketch

(B) ~~Draw~~  $R$  and find the boundary.

(C) Find the maximum and minimum values on the boundary.

(D) Find the greatest and smallest among (A) and (C).

Example: Find the absolute maximum ~~and~~ minimum of  $f(x, y) = x^2 + y^2 - x - y$  on the region  $R$  satisfying

4

$$x^2 \leq y \text{ and } y \leq 1.$$

Solution Step (A).

$$f_x = 2x - 1$$

$$f_y = 2y - 1$$

$$f_x = 0$$
$$\Rightarrow 2x - 1 = 0$$
$$\Rightarrow x = \frac{1}{2}$$

$$f_y = 0$$
$$\Rightarrow 2y - 1 = 0$$
$$\Rightarrow y = \frac{1}{2}$$

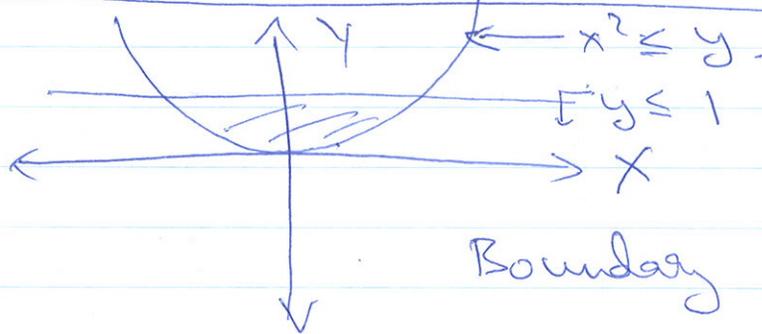
The critical point is  $(\frac{1}{2}, \frac{1}{2})$ .

and it satisfies  $x^2 \leq y$  and  $y \leq 1$

So it lies in the domain.

$$f\left(\frac{1}{2}, \frac{1}{2}\right) = \left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2 - \frac{1}{2} - \frac{1}{2} = -\frac{1}{2}$$

Step (B)



Boundary  $B_1: x^2 = y \quad -1 \leq x \leq 1$   
 $B_2: y = 1 \quad -1 \leq x \leq 1$

Step (C) Parametrisation can be important

On  $B_1$

$x^2 = y$  and  $-1 \leq x \leq 1$   
expressing in terms of  $x$ .

let  $g(x) = f(x, y) = f(x, x^2)$

(5)

$$= x^2 + (x^2)^2 - x - x^2 = x^4 - x.$$

Critical point:  $g'(x) = 4x^3 - 1 = 0$

$$\Rightarrow x^3 = \frac{1}{4}$$

$$\Rightarrow x = \left(\frac{1}{4}\right)^{\frac{1}{3}}$$

$$y = x^2 = \left(\left(\frac{1}{4}\right)^{\frac{1}{3}}\right)^2 = \left(\frac{1}{4}\right)^{\frac{2}{3}}$$

$$g\left(\left(\frac{1}{4}\right)^{\frac{1}{3}}\right) = f\left(\left(\frac{1}{4}\right)^{\frac{1}{3}}, \left(\frac{1}{4}\right)^{\frac{2}{3}}\right) = \left(\left(\frac{1}{4}\right)^{\frac{1}{3}}\right)^4 - \left(\frac{1}{4}\right)^{\frac{1}{3}}$$

$$= \boxed{\left(\frac{1}{4}\right)^{\frac{4}{3}} - \left(\frac{1}{4}\right)^{\frac{1}{3}}}$$

$$= \left(\frac{1}{4}\right)^{\frac{1}{3}} \left(\frac{1}{4} - 1\right)$$

End Points:  $x = -1$  and  $x = 1$ .  $= -\left(\frac{1}{4}\right)^{\frac{1}{3}} \frac{3}{4}$

~~x~~  
 $x = -1, y = x^2 = 1$

$$g(-1) = f(-1, 1) = 1^4 - (-1) = 1 + 1 = \boxed{2}$$

$$x = 1, y = x^2 = 1$$

$$g(1) = f(1, 1) = 1 - 1 = \boxed{0}$$

On  $\mathbb{R}_2$

$$y = 1, -1 \leq x \leq 1$$

$$h(x) = f(x, 1) = x^2 + 1 - x - 1 = x^2 - x$$

Critical point:  $h'(x) = 2x - 1 = 0$

$$\Rightarrow x = \frac{1}{2}$$

~~$$y = x^2 = \frac{1}{4}$$~~

(6)

$$h\left(\frac{1}{2}\right) = f\left(\frac{1}{2}, 1\right) = \left(\frac{1}{2}\right)^2 - \left(\frac{1}{2}\right) = \boxed{-\frac{1}{4}}$$

End Points

$$x = -1, \quad x = 1$$

$$x = -1, \quad y = (-1)^2 = 1$$

$$h(-1) = f(-1, 1) = (-1)^2 - (-1) = \boxed{2}$$

$$x = 1, \quad y = (1)^2 = 1$$

$$h(1) = f(1, 1) = (1)^2 - 1 = \boxed{0}$$

Absolute

Maximum is at  $(-1, 1)$  value is 2

Absolute

Minimum is at  $\left(\frac{1}{2}, \frac{1}{2}\right)$  value is  $-\frac{1}{4}$

Example: Find the maximum value of the

function.  $f(x, y) = x^2 + y^2 - xy$  on

$$R = \{x^2 + y^2 < 1\}$$

7

Solution: Critical points:  $f_x = 2x - y = 0 \Rightarrow 2x = y \dots \textcircled{1}$

$$f_y = 2y - x = 0 \Rightarrow 2y = x \dots \textcircled{2}$$

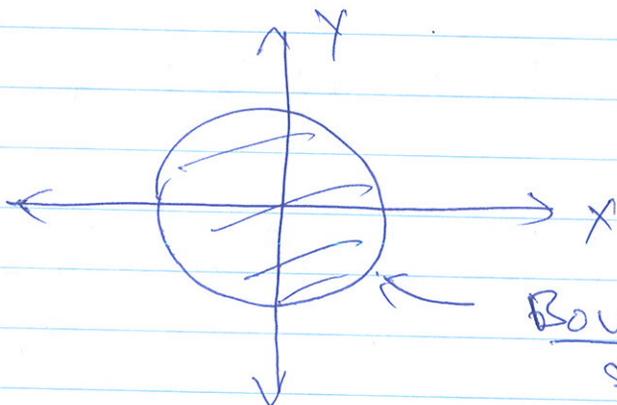
Plugging  $\textcircled{1}$  into  $\textcircled{2}$  we get.

$$4x = x \Rightarrow x = 0 \Rightarrow y = 0.$$

Critical point  $(0, 0)$

$$\text{Critical Value} = f(0, 0) = \boxed{0}.$$

Step B



Boundary:  $(x, y)$   
such that

$$x^2 + y^2 = 1$$

Step C.  $(x, y)$  su Parametrisation.

Parametrisation:

$(x, y)$  such <sup>that</sup>  $x^2 + y^2 = 1$  is the same as

$(\sin \theta, \cos \theta)$  when  
 $0 \leq \theta \leq 2\pi$ .

8

$$g(\theta) = f(\sin\theta, \cos\theta)$$

$$= \sin^2\theta + \cos^2\theta - \sin\theta\cos\theta$$

$$= 1 - \sin\theta\cos\theta$$

is

$$= 1 - \frac{1}{2} 2\sin\theta\cos\theta = 1 - \frac{1}{2} \sin 2\theta.$$

~~Critical~~

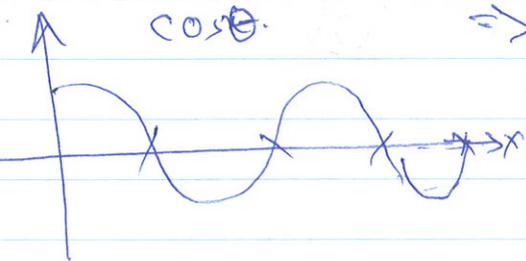
Critical Point

$$g'(\theta) = \frac{1}{2} 2 \cdot \cos 2\theta = \cos 2\theta = 0.$$

$\cos\theta$

$$\Rightarrow 2\theta = \frac{\pi}{2}, \frac{3\pi}{2}, \frac{5\pi}{2}, \frac{7\pi}{2}$$

$$\theta = \frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}$$



$$g\left(\frac{5\pi}{4}\right) = g\left(\frac{\pi}{4}\right) = f\left(\sin\left(\frac{5\pi}{4}\right), \cos\left(\frac{5\pi}{4}\right)\right) = 1 - \frac{1}{2} \sin\left(2 \cdot \frac{\pi}{4}\right)$$

$$= 1 - \frac{1}{2} \sin\left(\frac{\pi}{2}\right)$$

$$= 1 - \frac{1}{2} (1) = \frac{1}{2}$$

$$g\left(\frac{3\pi}{4}\right) = g\left(\frac{7\pi}{4}\right) = f\left(\sin\left(\frac{3\pi}{4}\right), \cos\left(\frac{3\pi}{4}\right)\right)$$

$$= 1 - \frac{1}{2} \sin\left(2 \cdot \left(\frac{3\pi}{4}\right)\right)$$

$$= 1 - \frac{1}{2} \sin\left(\frac{3\pi}{2}\right)$$

$$= 1 - \frac{1}{2} (-1) = 1 + \frac{1}{2} = \frac{3}{2}$$

End Points | Circle has no ends

9.

Step

D

The maximum is at  $(\sin(\frac{3\pi}{4}), \cos(\frac{3\pi}{4}))$

and  $(\sin(\frac{7\pi}{4}), \cos(\frac{7\pi}{4}))$

and the maximum value is  $\frac{3}{2}$ .

Is there a better way?

